# Which is Safer to Avoid an Increase in ICP After Endotracheal Suctioning in Severe Head Injury: Intravenous or Endotracheal Lidocaine?

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## Abstract

**Background:** In patients with severe head injury, endotracheal suctioning (ES) is a potentially unsafe procedure, because it can increase intracranial pressure (ICP) and decrease cerebral perfusion pressure (CPP). Lidocaine has been shown to directly blunt ICP rises before ES, although it is not known whether the efficacy of lidocaine given endotracheally is comparable with that intravenously. The purpose of this study was evaluated the effects of ES on ICP with or without the use of lidocaine given endotracheally or intravenously in head trauma.

**Methods:** Twenty patients admitted to the intensive care unit having traumatic brain injury with Glasgow Coma Scale  $\leq 8$  were evaluated. Patients were randomly given 1.5 mg/kg of 2% lidocaine intravenously (Group 1), 1 mg/kg of 2% lidocaine endotracheally (Group 2) or did not receive lidocaine (Group 3) prior to ES. The ICP, mean arterial pressure, heart rate and CPP were monitored continuously and were recorded prior and immediately after ES.

**Results:** There was no significant increase in ICP after ES in group 1 (P = 0.56) and group 2 (P = 0.06) patients. However, the ICP increased after ES in group 3 patients (P = 0.0002).

**Conclusions:** Our findings suggest that the administration of lidocaine endotracheally or intravenously before ES effectively prevents the ICP increase and CPP reduction in severe head trauma. Aiming for patient safety we propose the use of endotracheal lidocaine because intravenous drugs have a heightened risk of causing significant patient harm when used in error and preventable adverse drug events, are a prominent quality and cost issue in healthcare.

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#### Introduction

Traumatic brain injury (TBI) is the leading cause of severe disability and death, and has a high economic cost to our society. Neurological damage from TBI does not occur only at the moment of impact, but evolves over the ensuing hours and days. Improved outcome results when these secondary, delayed insults, resulting in reduced cerebral perfusion to the injured brain, are prevented by avoiding an increase in intracranial pressure (ICP) and a decrease in cerebral perfusion pressure (CPP). This is reflected in the progressive and significant reduction in severe TBI mortality and morbidity and worldwide preoccupation in relation to prevention and best treatment [1-5].

In patients with severe head trauma, endotracheal suctioning (ES) is a necessary procedure during chest physiotherapy care. This procedure can result in unwanted airway and circulatory reflexes including short periods of apnea, cough, bronchospasm, variations in intrathoracic pressure, systemic hypertension, tachycardia, increased ICP and reduced CPP which may aggravate brain damage [6-10].

Lidocaine has long been used to modulate these responses. The administration of lidocaine has been given via different routes including intravenous (IV) injection and endotracheal tube (ETT) [8, 10-12].

Lidocaine-injected IV blunts the cough reflex in awake and anesthetized patients and evidence demonstrates that lidocaine sprayed down the ETT attenuates the airway-circulatory reflexes during emergency and extubation after general anesthesia [13-15]. These facts prompted us to reappraise the issue of endotracheal lidocaine instillation for blunting ES induced cerebral hemodynamic changes in patients undergoing intensive care after severe head trauma. However, no consensus has yet been reached in the literature in respect to its optimal route of administration [11, 16].

The purpose of this study was to evaluate the effects of ES on ICP, CPP with or without the use of endotracheal or

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**Figure 1.** Intracranial Pressure pre and post Lidocaine administration. \* P values < 0.05 between Pre and Post moments; **#** P values < 0.05 comparison between groups.

intravenous lidocaine in severe head trauma patients.

#### Materials and Methods

This is a clinical control prospective study. With institutional approval, twenty patients, older than 18 years, admitted to the intensive care unit (ICU) at the Clinical Hospital of State University of Campinas having severe traumatic brain injury, with Glasgow Coma Scale of  $\leq 8$  and intracranial pressure monitoring. Patients with the following criteria were excluded from the study: ICP > 20 mmHg or CPP < 60 mmHg at baseline, SaO<sub>2</sub> < 94%, PaCO<sub>2</sub> < 30 mmHg and patients with clinically established brain death.

Patients were all intubated, mechanically ventilated, sedated and were maintained supine with the head elevated at  $30^{\circ}$  and aligned with the trunk, according to protocols previously defined [3, 5]. Mechanical ventilation was adjusted to maintain arterial oxygen saturation (SaO<sub>2</sub>) above 94% and partial pressure of arterial carbon dioxide (PaCO<sub>2</sub>) between 35 and 40 mmHg with positive end-expiratory pressure (PEEP) of 5 cm H<sub>2</sub>O. Patients were pre-oxygenated (FiO2 = 1) before ES to avoid oxygen desaturation and were not hyperventilated.

During the study phase the patients were stable and there was no concomitant administration of any treatment that would affect intracranial pressure such as mannitol or hypertonic saline. No muscle relaxants were used.

The patients were divided randomly into 3 groups (n = 20 for each). Group 1 received 1.5 mg/kg of 2% lidocaine intravenously; Group 2 patients received 1 mg/kg of 2% lidocaine endotracheally; Group 3 did not receive lidocaine. ES maneuver was performed by the same researcher 5 minutes after IVL and 3 minutes after ETL administration.

The ICP, mean arterial pressure (MAP), heart rate (HR) and CPP were recorded prior (Pre) and immediately after ES (Post). HR, MAP, arterial oxygen saturation (SaO<sub>2</sub>), and ICP, were monitored continuously. The CPP was obtained according to the following calculation: CPP = MAP - ICP. Tomographic findings were assessed according to Marshall's classification [17]. Plasma lidocaine levels were not measured.

#### Statistical analysis

Non-parametric analysis techniques were applied. The Mood test was used to find possible differences between groups and the Mann-Whitney test of two by two was applied to identify where these differences were. For comparison between times (Pre and Post), within the same variable, the Mann-Whitney test was also applied. P values  $\leq 0.05$  were considered statistically significant.

### Results

A total of 20 patients, with severe head trauma admitted to the intensive care unit, met entry criteria. Eighteen patients (90%) were of the male sex and the patients' mean age was  $28.5 \pm 10.4$ .

Tomographic findings according to Marshall's classification [17] showed diffuse injury type II in 6 patients (30%), diffuse injury type III in 6 patients (30%), subdural hematoma in 5 patients (25%) and epidural hematoma in 3 (15%).

No statistically significant differences were found when

	Group 1 (n = 20) (Lidocaine intravenously)		Group 2 (n = 20) (Lidocaine Endotracheally)		Group 3 (n = 20) (Without Lidocaine)	
	Pre	Post	Pre	Post	Pre	Post
Heart Rate (bpm)	91.0 ± 13.9	93.8 ± 14.0	92.9 ± 15.7	100.3 ± 18.8	96.3 ± 20.4	104.9 ± 21.9
Mean Arterial Pressure (mmHg)	$93.0\pm20.0$	$100.8 \pm 19.8$	$96.2\pm10.6$	$97.2\pm10.7$	94.7 ± 14.2	97.3 ± 14.0
Cerebral Perfusion Pressure (mmHg)	$76.5\pm19.2$	84.2 ± 18.3	$82.5\pm12.3$	$79.0 \pm 13.8$	$80.8 \pm 16.6$	73.5 ± 17.5

Table 1. Hemodynamic Variables and Cerebral Perfusion Pressure During the Study Period

Average ± standard deviation; no significant difference among groups and Pre e Post moments; bpm: beats per minute.

baseline (Pre) measurements of HR, MAP, ICP and CPP in the three treatment groups were compared.

Statistically, a significant difference was found in ICP when Pre and Post measurements in group 3 (P = 0.0002) were compared as seen in Figure 1. When assessing the results of ICP at pre and post ES among the groups, statistically significant differences (P = 0.02) were found. The NL method was statistically different from the other two methods (ETL and EVL) for the variable ICP (Fig. 1).

No statistical difference was found among the studied groups in relation to the variables HR, MAP and CPP as well as Pre and Post ES (Table 1).

#### Discussion

Various forms of sensory stimulation (including laryngoscopy, tracheal intubation, and endotracheal suctioning) may cause marked increases in ICP and MAP [11, 18, 19].

The major determinant of the magnitude of the ICP increase during endotracheal suctioning appears to be the transmission of cough-induced increase in intrathoracic pressure into the cerebral venous system resulting in a transient increase in cerebral venous pressure and intracranial volume. Head-injured patients with abnormal intracranial pressure-volume relationships may develop precipitous increase in ICP if cerebral venous pressure increases [6]. Therefore, the ability of a given drug to suppress the cough reflex appears to be more important in preventing intracranial hypertension than any effect exerted on the cerebral arterial system [6, 7, 10, 11, 20, 21].

Two main mechanisms, neuronal blockade of vagal reflex pathways and direct effects on smooth muscle cells, may explain the effect of lidocaine on bronchial reactivity [22-24]. Groeben et al [25] suggested in their study that the reflex suppression is the main mechanism to explain the protective effect of intravenous lidocaine in awake volunteers with bronchial hyper reactivity and showed that peak plasma concentrations following lidocaine inhalation were significantly lower than those under intravenous administration. Other studies showed that inhalation of lidocaine yields many airway concentrations with lower plasma concentration than intravenous administration [26-28].

Since the publication of Hamill et al [11], lidocaine has been recommended before ES in neurocritical patients and the procedure should be performed three minutes after ETL and 5 minutes after IVL. Based on good clinical results, in daily practice, we decided to keep this time interval before ES. A consistent dosage of 1.5 mg/kg IVL was administered across the six human studies. Nevertheless, ETL dosage varied across human studies from 1.5 mL/kg to 4 mL of lidocaine 4% [6, 11, 13, 20, 21, 29]. In our study 1.5 mg/kg IVL was administered which was the same as Groeben et al [25] who obtained a plasma concentration of  $2.4 \pm 0.15 \,\mu\text{g/mL}$ . For ETL dosage in our study we used 1 mg/kg of lidocaine while Groeben et al [25] used 5 mg/kg and had a lidocaine plasma concentration of  $1.5 \pm 0.14 \,\mu\text{g/mL}$ , that suggests that the level of lidocaine in our patients' plasma was lower than 1.5  $\mu$ g/mL. There are conflicting views in studies regarding maximum safe doses of local anesthetic drugs [30-33]. There was direct correlation between pharmacological effect of lidocaine [30, 31] and blood concentration of lidocaine in arterial plasma at which symptoms of local anesthetic toxicity were evident ranging from 2.0 to 6.0 µg/mL [34]. Therefore, we suggest that ETL can be used as safely as IVL, as long as plasma levels of lidocaine are outside the range of toxicities.

As a result, Lidocaine has now become part of the therapeutic arsenal for patients with severe head trauma but there has been no consensus neither in our group nor in literature about which the ideal administration of standardized doses of lidocaine should be which motivated this research.

The pressure response to laryngoscopy and endotracheal intubation have been recognized and studied since 1951 [19]. However, in a study by Hamill et al (1981) the lack of efficacy of topically applied laryngotracheal lidocaine in preventing increased ICP, hypertension, and tachycardia after intubation was unexpected, particularly in view of its widespread clinical use [11].

In the present study, there were non-significant results of HR, MAP and CPP following ES in the three groups. These results can be explained by the intense sympathetic stimulation during the end ES maneuver which was probably blocked by sedation and analgesia, not only by lidocaine although some studies have concluded that lidocaine does not attenuate cardiovascular response [14, 15, 29, 35, 36]. Nevertheless, Hamaya et al reported that the sympathetic responses mediated by the tactile stimulation of the larynx, tracheal carina and bronchi were completely blocked by ETL and partially blocked by IVL [14].

In our results, we found statistically significant increase of ICP after ES in group 3. Although a decrease was not found in ICP after ES in group 1 and 2, our results suggests a better control of the ICP after ES with ETL or IVL, avoiding values of ICP greater than 20 mmHg. The findings were discussed by Grover et al [12] where it was observed that the prophylactic effect of IVL on a dose of 1.5 mg/kg resulted in a decrease in ICP, and recommended its routine use. In our previous practical and clinical analysis, we have noted clinical controversy surrounding administration of lidocaine before ES in patients with brain injury and we have observed that there is no guideline of safety and effectiveness of this practice, arousing controversy over the best route and doses with consequent limitations on the universal implementations. In our research, it was applied the literature recommended dosage that should be administered in the endotracheal tube or intravenously [11, 13]. It was not our intention to compare or question the safety and effectiveness of different doses of lidocaine. It must be observed that our patients had no intracranial hypertension and for ethical reasons and based on current literature we had no group without lidocaine if patients was under increased ICP. Clearly, no acute deterioration was observed with any of the three regimens but, in theory, secondary brain injury caused by global or localized cerebral ischemia is certainly possible when CPP decreases followed by an increase in ICP that was avoided in lidocaine groups. Even 'safe' medications need to be administered with care [37]. It is important that the medical team play a significant role in critically evaluating the use of medication and procedures in the management of patients in critical states in special severe head injury.

#### Conclusion

According to our preliminary data from prospective pilot

study, the use of lidocaine administered intravenously or endotracheally can prevent ICP changes during routine endotracheal suctioning by tube. Either endotracheal or intravenous lidocaine was completely successful in preventing a rise in ICP. Aiming for patient safety we propose the use of endotracheal lidocaine because ETL can be used as safely as IVL, as long as plasma levels of lidocaine are outside the range of toxicities and intravenous drugs have a heightened risk of causing significant patient harm when used in error and preventable adverse drug events, are a quality and cost issue in healthcare [37-39].

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